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Washington, D.C. 20231



\*700067541A\*

FEBRUARY 24, 2004

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COLEMAN SUDOL SAPONE, P.C.  
R. NEIL SUDOL  
714 COLORADO AVENUE  
BRIDGEPORT, CT 06605-1601

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MAR 12 2004  
TECHNOLOGY CENTER R3700

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/20/2004

REEL/FRAME: 014359/0721  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

WILK, PETER J.

DOC DATE: 02/18/2004

## ASSIGNEE:

WILK PATENT DEVELOPMENT  
CORPORATION  
160 THIRD AVENUE  
NEW YORK, NEW YORK 10003

SERIAL NUMBER: 09678134  
PATENT NUMBER:


FILING DATE: 10/04/2000  
ISSUE DATE:

014359/0721 PAGE 2

THERESA FREDERICK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

02/20/2004

700067541

	<b>RECORDATION FORM COVER SHEET</b>	<b>U.S. DEPARTMENT OF COMMERCE</b> Patent and Trademark Office
	<b>PATENTS ONLY</b>	<b>Docket No: W07-473</b>

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<b>1. Name of conveying party(ies):</b>  <b>WILK, Peter J.</b>  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>3. Nature of conveyance:</b>  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Respective Execution Dates: <b>02/18/04</b>	<b>2. Name and address of receiving party(ies):</b>  Name: <b>WILK PATENT DEVELOPMENT CORPORATION</b> Internal Address:  Street Address: <b>160 Third Avenue</b>  City: <b>New York</b> State: <b>NY</b> Zip: <b>10003</b> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
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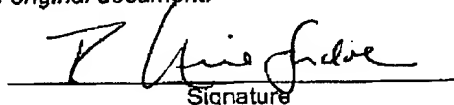
<b>4. Application number(s) or patent number(s):</b>  If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No. <b>09/678,134</b> B. Patent No.(s):  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b>  Name <b>Coleman Sudol Sapone, P.C.</b>  Internal Address:  Street Address: <b>714 Colorado Avenue</b>  City: <b>Bridgeport</b> State: <b>CT</b> ZIP: <b>06605-1601</b>	<b>6. Total number of applications and patents involved:</b> <b>1</b> <b>7. Total fee (37 C.F.R. 3.41)..... \$40.00</b> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account specified in item No. 8 below. <b>8. Deposit account number: 04-0838</b> (Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

**9. Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.**

**R. Neil Sudol, Reg. No. 31,369**

Name of Person Signing

  
Signature**February 20, 2004**

Date

Total number of pages including cover sheet, attachments, and document: 3**CH \$40.00 040838 09678134**

ASSIGNMENT

For one dollar (\$1.00) and other valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned (hereinafter "Assignor") hereby sells, assigns and transfers to Wilk Patent Development Corporation, a Corporation of New York, having a principal place of business at 160 Third Avenue, New York, New York 10003, its successors, legal representatives, assigns and nominees (hereinafter "Assignee"), my/our entire right, title and interest in, to, and under:

- a. Assignors' Provisional Patent Application entitled:

METHOD AND DEVICE FOR IMPROVING CARDIAC FUNCTION

Executed On: \_\_\_\_\_  
 X Serial Number: 09/678,134 Filed: 10/04/2000

- b. Any and all inventions described in said provisional patent application.
- c. Any and all nonprovisional, divisional, continuation, renewal, and substitute applications that may be filed for United States Letters Patent for any and all of said inventions.
- d. Any and all patents that may be granted on the foregoing applications and any and all continuations, reissues, or extensions thereof.
- e. Any and all improvements thereon or relating thereto which assignor has invented.
- f. Any and all rights in foreign countries under such applications and inventions, including the right to claim the priority date of the United States provisional application and the right to priority under such application under the International Convention.

Assignor hereby authorizes and requests the Commissioner of Patents to issue any and all such Letters Patent for said invention to said Assignee.

Assignor further covenants and warrants that, to the best of his/her knowledge, the rights and properties herein conveyed are free and clear of any encumbrances and Assignee shall hold and enjoy any and all inventions, application, and Letters Patent issued thereon for its own use as fully and entirely as the Assignor would have held and enjoyed said rights had this assignment and sale not been made, including the full right of the Assignee to convey said rights.

Assignor further agrees on his/her own behalf, and on behalf of his/her heirs, legal representatives, administrators, and assigns, at any time, upon request, without additional compensation, but at no expense, to:

1. Communicate to said Assignee any facts relating to the invention and the history thereof;
2. Execute and deliver all papers and do all acts which may be necessary, desirable, or convenient to secure, maintain, and enforce patents for said invention in any and all countries; and to execute and deliver any and all papers or instruments that in the opinion of Assignee may be necessary or desirable to vest title thereto in Assignee or its nominees, including the execution and delivery of any and all papers or instruments to carry out the terms of this Agreement, and to secure to Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed; and
3. Testify at all proceedings concerning said invention and patents.

Full Name of First Inventor:

Full Name of Second Inventor:

WILK, Peter J.

Peter J. Wilk  
 Inventor's Signature      Date  
 2/18/04

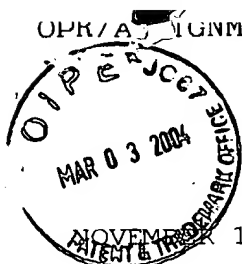
\_\_\_\_\_  
 Inventor's Signature      Date

Witness: Patricia Rivera  
 Print Name

Witness: \_\_\_\_\_  
 Print Name

Patricia Rivera 2/19/04  
 Signature      Date

\_\_\_\_\_  
 Signature      Date



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

PTAS

NOVEMBER 13, 2002

COLEMAN SUDOL SAPONE, P.C.  
R. NEIL SUDOL  
714 COLORADO AVENUE  
BRIDGEPORT, CT 06605-1601

**\*700020065A\*****\*700020065A\***

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/12/2002

REEL/FRAME: 013238/0797

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

WILK, PETER J.

DOC DATE: 11/12/2002

## ASSIGNEE:

WILK PATENT DEVELOPMENT  
CORPORATION  
160 THIRD AVENUE  
NEW YORK, NEW YORK 10003

SERIAL NUMBER: 09900126

FILING DATE: 07/06/2001

PATENT NUMBER:

ISSUE DATE:

VIOLET MCCOY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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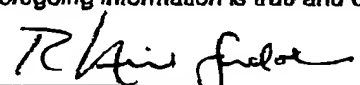
11/12/2002

# RECORDATION FORM COVER SHEET PATENTS ONLY

 U.S. DEPARTMENT OF  
 COMMERCE  
 Patent and Trademark Office

Docket No: W07-485

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): <p style="text-align: center;"><b>Peter J. WILK</b></p>		2. Name and address of receiving party(ies) Name: <b>Wilk Patent Development Corporation</b> Internal Address:  Street Address: <b>160 Third Avenue</b>  City <b>New York</b> State <b>New York</b> ZIP <b>10003</b> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Additional name(s) of conveying party(ies) attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<div style="position: absolute; top: 100px; right: 100px; text-align: center;"> <b>RECEIVED</b>  <b>MAR 12 2004</b>  <b>TECHNOLOGY CENTER R3700</b> </div>	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: <b>November 12, 2002</b>			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s): <b>09/900,126</b> B. Patent No.(s):  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <b>Coleman Sudol Sapone, P.C.</b> Internal Address:  Street Address: <b>714 Colorado Avenue</b>  City: <b>Bridgeport</b> State: <b>CT</b> ZIP: <b>06605-1601</b>		6. Total number of applications and patents involved: <b>1</b> 7. Total fee (37 C.F.R. 3.41)..... <b>\$40.00</b> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account specified in Item No. 8. 8. Deposit account number: <b>04-0838</b> (Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE			
9. Statement and Signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div> <u>R. Neli Sudol, Reg. No. 31,669</u>            Name of Person Signing         </div> <div style="text-align: center;">             Signature         </div> <div style="text-align: right;"> <u>November 12, 2002</u>            Date         </div> </div>			
Total number of pages including cover sheet, attachments, and document: <u>3</u>			

 Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231

**ASSIGNMENT**

For one dollar (\$1.00) and other valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned (hereinafter "Assignor") hereby sells, assigns and transfers to Wilk Patent Development Corporation, a Corporation of New York, having a principal place of business at 160 Third Avenue, New York, New York 10003, its successors, legal representatives, assigns and nominees (hereinafter "Assignee"), my/our entire right, title and interest in, to, and under:

- a. Assignors' Provisional Patent Application entitled:

**INTRAPERICARDIAL ASSIST METHOD**

Executed On: \_\_\_\_\_  
X Serial Number: 09/900,126 Filed: 07/06/2001

- b. Any and all inventions described in said provisional patent application.
- c. Any and all nonprovisional, divisional, continuation, renewal, and substitute applications that may be filed for United States Letters Patent for any and all of said inventions.
- d. Any and all patents that may be granted on the foregoing applications and any and all continuations, reissues, or extensions thereof.
- e. Any and all improvements thereon or relating thereto which assignor has invented.
- f. Any and all rights in foreign countries under such applications and inventions, including the right to claim the priority date of the United States provisional application and the right to priority under such application under the International Convention.

Assignor hereby authorizes and requests the Commissioner of Patents to issue any and all such Letters Patent for said invention to said Assignee.

Assignor further covenants and warrants that, to the best of his/her knowledge, the rights and properties herein conveyed are free and clear of any encumbrances and Assignee shall hold and enjoy any and all inventions, application, and Letters Patent issued thereon for its own use as fully and entirely as the Assignor would have held and enjoyed said rights had this assignment and sale not been made, including the full right of the Assignee to convey said rights.

Assignor further agrees on his/her own behalf, and on behalf of his/her heirs, legal representatives, administrators, and assigns, at any time, upon request, without additional compensation, but at no expense, to:

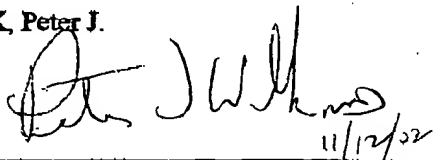


1. Communicate to said Assignee any facts relating to the invention and the history thereof;
2. Execute and deliver all papers and do all acts which may be necessary, desirable, or convenient to secure, maintain, and enforce patents for said invention in any and all countries; and to execute and deliver any and all papers or instruments that in the opinion of Assignee may be necessary or desirable to vest title thereto in Assignee or its nominees, including the execution and delivery of any and all papers or instruments to carry out the terms of this Agreement, and to secure to Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed; and
3. Testify at all proceedings concerning said invention and patents.

Full Name of First Inventor:

Full Name of Second Inventor:

WILK, Peter J.

  
11/12/02

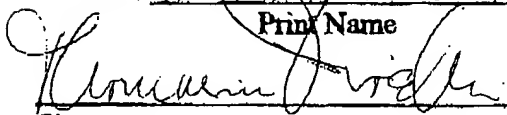
Inventor's Signature

Date

Inventor's Signature

Date

Witness:

THOMASINA FIDRELLA  
Print Name

Signature

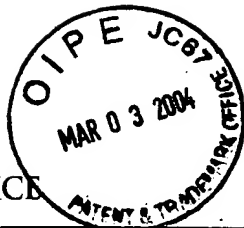
Date 11-12-02

Witness:

Print Name

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Date



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APRIL 29, 2002

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COLEMAN SUDOL SAPONE, P.C.  
R. NEIL SUDOL  
714 COLORADO AVENUE  
BRIDGEPORT, CT 06605-1601



\*102004768A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 02/25/2002

REEL/FRAME: 012621/0812

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WILK, PETER J.

DOC DATE: 02/04/2002

ASSIGNEE:

WILK PATENT DEVELOPMENT  
CORPORATION  
160 THIRD AVENUE  
NEW YORK, NEW YORK 10003

SERIAL NUMBER: 09435525

PATENT NUMBER:

FILING DATE: 11/08/1999

ISSUE DATE:

SERIAL NUMBER: 09426744

PATENT NUMBER: 6258021

FILING DATE: 10/25/1999

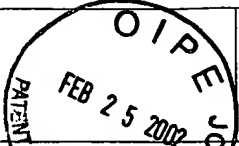
ISSUE DATE: 07/10/2001

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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COLEMAN SUDOL SAPONE, P.C.

	RECC	03-07-2002	SHEET	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
To the Honorable Commissioner of P.			102004768	Docket No: W07-431

ie attached original documents or copy

1. Name of conveying party(ies):  Peter J. WILK  Additional name(s) attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies)  Name: Wilk Patent Development Corporation Internal Address:  Street Address: 160 Third Avenue  City New York State New York ZIP 10003  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other Execution Date: February 4, 2002	

4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s): 09/435,525 B. Patent No.(s): 6,258,021  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
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5. Name and address of party to whom correspondence concerning document should be mailed: Name: Coleman Sudol Sapone, P.C. Internal Address: <div style="border: 1px solid black; padding: 5px;">The PTO did not receive the following listed item(s) <u>Fee FOR ASSIGNMENT</u></div> Street Address: 714 Colorado Avenue City: Bridgeport State: CT ZIP: 06605-1601	6. Total number of applications and patents involved: 2 7. Total fee (37 C.F.R. 3.41)..... \$80.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account. 8. Deposit account number: 04-0838  (Attach duplicate copy of this page if paying by deposit account)
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DO NOT USE THIS SPACE

9. Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  R. Neil Sudol, Reg. No. 31,669 Name of Person Signing  R. Neil Sudol Signature  4 Feb. 2002 Date		
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Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

### ASSIGNMENT

WHEREAS, I, Peter J. Wilk, citizen of the United States of America, having an office at 160 Third Avenue, New York, New York 10003, have invented new and useful improvements in METHOD AND DEVICE FOR IMPROVING CARDIAC FUNCTION, for which I have filed application No. 09/435,525 for Letters Patent of the United States, said application being filed on November 8, 1999.

WHEREAS, I, Peter J. Wilk have been awarded U.S. Patent No. 6,258,021.

WHEREAS, Wilk Patent Development Corporation (hereinafter "WILK PATENT"), a corporation organized and existing under the laws of the State of New York, having a place of business at 160 Third Avenue, New York, New York 10003, is desirous of acquiring the entire right, title and interest in and to the application and invention therein described and claimed and any Letters Patent which may be issued upon the application or for improvements therein contained and is additionally desirous of acquiring the entire right, title and interest in and to U.S. Patent No. 6,258,021 and invention therein described and claimed.

Now, THEREFORE, in consideration of my employment by said WILK PATENT and other good and valuable considerations, to me in hand paid, the receipt and sufficiency of which are hereby acknowledged, I, Peter J. Wilk, have sold, assigned and transferred and do hereby sell, assign and transfer unto said WILK PATENT, its successors and assigns, the entire right, title and interest in and to U.S. Patent No. 6,258,021 and the inventions therein disclosed and my entire right, title and interest in and to the application and to the invention therein disclosed and the entire right, title and interest in and to any Letters Patent which may issue thereon, and the right to apply for any Letters Patent in the United States of America and in any and all foreign countries on said inventions, including all divisional, renewal, substitute and continuation applications based in whole or in part upon said inventions, upon U.S. Patent No. 6,258,021 or upon any and all Letters Patents that may issue on the application, and every priority right that is or may be predicated upon or arise from said inventions, the same to be held and enjoyed by said WILK PATENT, for its own use and enjoyment and for the use and enjoyment of its successors and assigns, to the full end of the term for which any said Letters Patent are granted, as fully and as entirely as the same would have been held and enjoyed by me had this Assignment and sale not been made.

And I hereby authorize said WILK PATENT to file patent applications in any or all other countries on said inventions in my name or in the name of said WILK PATENT or otherwise as said WILK PATENT may deem advisable.

And I hereby authorize the empowered officials of all governments to issue or transfer any said Letters Patent granted, to said WILK PATENT, as assignee of the entire right, title and interest therein or otherwise as said WILK PATENT may direct, in accordance with this instrument or assignment.

In further consideration of said good and valuable considerations, I, Peter J. Wilk, further represent and warrant to said WILK PATENT, its successors and assigns, that there are no rights and interests outstanding inconsistent with the rights and interests granted herein and that I have not executed any instrument or granted or transferred any rights or interests inconsistent with the rights and interests granted herein and that I will not execute any instrument or grant or transfer any rights or interests inconsistent herewith, and I bind myself, my heirs, executors, administrators and legal representatives, as the case may be, to execute and deliver to said WILK PATENT, its successors and assigns, and I hereby irrevocably appoint said WILK PATENT as my true and lawful attorney-in-fact on my and their behalf and in my and/or their name, place and stead to execute, any further documents or instruments and do any and all further acts that may be deemed necessary or desirable by said WILK PATENT, its successors and assigns, to vest in said WILK PATENT, its successors and assigns, the title whose conveyance is made hereby, and to enable such title to be recorded in the United States and all other countries, and to enable said WILK PATENT to sustain or reissue any said Letters Patent granted, and to maintain, perfect, support and protect the right, title and interest of said WILK PATENT, its successors and assigns, in and to the said inventions, and any Letters Patent granted, particularly in cases of interference and litigation.

And I further covenant and agree, in consideration of the premises, that I, my executors and administrators, will at any time upon request of said WILK PATENT, its successors or assigns, and at its expense, communicate to said WILK PATENT, its successors and assigns, any facts relating to the inventions and improvements and the history thereof, known to me or my heirs, executors, administrators or legal representatives, and that I will testify as to the same in any interference, or other litigation when requested to do so by said WILK PATENT, its successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 4<sup>th</sup> day of ~~January~~, 2002.

*February*

Peter J. Wilk  
Peter J. Wilk

WITNESSED BY:

First witness' signature: Diana Beazer Jeffers

First witness' name: Diana Beazer Jeffers

First witness' address: 3091 Decatur Ave.

BRONX, NY 10467